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SEP 17 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,265	07/19/2001	Anthony Vernon Walker Smith	15-979

CONFIRMATION NO. 9891

## FORMALITIES LETTER



\*OC000000006503757\*

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.  
Ste. 1750  
1100 Superior Ave.,  
Cleveland, OH 44114

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*M. H.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/18/2001 HNDOR1 00000027 09909265

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65.00 OP



Seabrook  
#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT DIVISION

Serial No.: 09/909,265 Art Unit: N/A  
Filed: July 19, 2001 Examiner: N/A  
Applicant: Smith et al.  
For: Wavelength Routing and Switching Mechanism for a Photonic  
Transport Network  
Docket No.: 15-979

Watts, Hoffmann, Fisher & Heinke Co., L.P.A.  
P.O. Box 99839  
Cleveland, Ohio 44199-0839  
216-241-6700

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Application Division  
Special Handling Unit

LETTER

Dear Sir:

In response to your communication dated August 31, 2001, Notice to File Missing  
Parts of Nonprovisional Application, enclosed please find the following:

Return copy of Notice to File Missing Parts;  
Declaration and Power of Attorney  
Check for \$65.00

Please charge any additional fees, or credit any overpayment, to Deposit Account  
23-0630.

Respectfully submitted,

Date: Sept 14, 2001

Kenneth J. Smith  
Kenneth J. Smith  
Reg. No. 45,115

Encls.

I hereby certify that this paper is being deposited with the  
U.S. Postal Service as 1st Class Mail addressed to the  
Assistant Commissioner of Patents, Washington, D.C. 20231  
9/14/01  
By: [Signature]